

SECURITIES & EXCHANGE AUTHORITY
DIVISION OF TRADING AND MARKETS
REGISTRATION & LICENSING BUREAU

CERTIFICATE OF REGISTRATION

AS A BROKER-DEALER

THIS IS TO CERTIFY THAT

HAWKEYE MARKETS, LLC

330 Madison Avenue, Suite 1100, New York, NY 10017

having duly satisfied all requirements pursuant to **Section 15(b) of the Securities Exchange Act of 1934**, as amended, is hereby

REGISTERED AND AUTHORIZED

to engage in the business of a Broker-Dealer in securities within the jurisdiction of this Authority, subject to the provisions of all applicable securities laws, regulations, and rules of this Authority.

Registration Number: BD-2024-011374

CRD Number: CRD # 328810

Date of Issue: January 15, 2024

Expiration Date: January 14, 2030

Registration Category: Full-Service Broker-Dealer

Authorized Activities: Equities, Fixed Income, Options, Derivatives



Margaret L. Hartwell
Director, Division of Trading and Markets

Robert E. Cunningham
Chairman, Securities & Exchange
Authority

*This certificate is valid only when bearing the raised seal of the Securities & Exchange Authority.
Verify authenticity at: www.sea-registration.gov/verify | Ref: BD-2024-011374*